

Compliance Forum 2026 Stamford Plaza, Brisbane

- 9:00am **Registration and Networking Opens**
- 9:30am **Welcome & Opening Remarks**
Kelly Witts, General Counsel, GRC Solutions
- 9:35am **Australia's Compliance Horizon (2026–2028)**
Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions
- APRA's risk & operational resilience programs (CPS 230, CPS 190, CPS 220)
 - ASIC conduct priorities: DDO compliance, breach reporting, whistleblowing, conflicts of interest
 - AUSTRAC expectations
 - Treasury/OAIC reforms: Children's Code, current state of reforms and what's coming
- 10:30am **Morning Tea Break**
- 10:45am **Climate & Sustainability—From Claims to Disclosures**
Kimberly Randle, Founder and CEO, FairSupply
- Preparing for climate-related financial disclosures (ISSB alignment)
 - Greenwashing risk: governance, substantiation of claims
 - Scenario analysis, data quality and assurance
- 11:45am **Panel Discussion #1: Human Rights, Climate Obligations & ESG Integrity – What They Mean for Mutual Banks**
Panelists: Kimberly Randle, FairSupply and Alicia Clarke, Azab Business Advisory.
Moderator: Vito Giudice, GRC Solutions.
- Ensuring ESG claims are credible and defensible
 - Practical frameworks for ethical and sustainable supply chains
 - Upcoming MS Act reforms and how they impact Mutual Banks
- 12:30pm **Lunch Break**

- 1:30pm **Climate & Sustainability – Governance and Risk Insights**
Speaker: Alicia Clarke, Director, Azab Business Advisory
- Governance and Risk Insights – learnings from the first reporting cycle
 - Looking ahead for Group 2/3 reporters and Yr 2 reporting – integrating climate into enterprise risk, strategy and decision-making
 - Disclosure considerations – navigating the assurance gap and disclosure reporting risks
- 2:30pm **Case Study Clinic—Avoiding Compliance Failures: Lessons Learnt**
Speaker: Vito Giudice, Principal Consultant, GRC Solutions
- What went wrong (and why wasn't it caught earlier?): First Guardian Master Fund case study
 - Greenwashing enforcement: from marketing claims to evidence
 - A practical “prevent and prove” playbook
- 3:30pm **Afternoon Tea Break**
- 3:50pm **AML CTF Risk Assessments**
Louise Matthews, Senior Risk & Compliance Legal Consultant, GRC Solutions
- Risk based approach: How to align AML/CTF Risk assessments for financial institutions
 - Customer profiling: techniques for segmenting customers by risk levels (PEPS, high-risk jurisdictions, complex structures)
 - Data quality and analytics: Leveraging transaction monitoring data, typologies, and predictive analytics for dynamic risk scoring
 - Governance & Accountability: Board oversight, documentation standards, and evidencing “reasonably designed” programs.
 - Challenges and barriers to implementation and how to break through them.
- 4:50pm **Closing Remarks & Networking**
Kelly Witts, General Counsel, GRC Solutions
- 5:00pm **Event close**